

26824

PTO/SB/21 (08-00)

MODIFIED

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

* Plus 6 cited references

Application Number 09/920,240

Filing Date 08/01/2001

First Named Inventor Pierte ROO

Group Art Unit 2682

Examiner Name Eugene Yun

Total Number of Pages in This Submission

5 *

Attorney Docket Number MP0039.CIP

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Credit Card
Authorization

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement with

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Associate Power of Attorney

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication
to Group

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please
identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Andrew J. Bateman
Registration No.: 45,573

Signature

Andrew J. Bateman

Date

10/03/3005

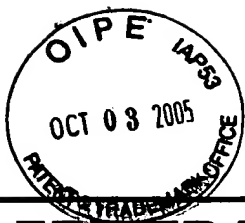
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: _____

Typed or printed name

Signature

Date



FEE TRANSMITTAL for FY 2005

Effective 10/01/2005. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**180.00**

Complete if Known

Application Number	09/920,240
Filing Date	08/01/2001
First Named Inventor	Pierte ROO
Examiner Name	Eugene Yun
Art Unit	2682
Attorney Docket No.	MP0039.CIP

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account

50-1710

Account Name

KATTEN MUCHIN ROSENMAN, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge above Deposit Account with any additional fees necessary UNDER 37 CFR 1.16 AND/OR 1.17 to maintain pendency of this application.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1001 300	2001 150			Utility Filing Fee	
1111 500	2111 250			Utility Search Fee	
1311 200	2311 100			Patent Examination Fee	

SUBTOTAL (1) (\$)**0.00**

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity		Small Entity		Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1202 50	2202 25			Claims in excess of 20	
1201 200	2201 100			Independent claims in excess of 3	
1203 360	2203 180			Multiple dependent claim, if not paid	
1204 200	2204 100			** Reissue independent claims over original patent	
1205 50	2205 25			** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)**0.00**

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	\$	Fee Code	\$	Description	Fee Paid
1051 130		2051 65		Surcharge - late filing fee or oath	
1052 50		2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130		1053 130		Non-English specification	
1812 2,520		1812 2,520		For filing a request for <i>ex parte</i> reexamination	
1804 920*		1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*		1805 1,840*		Requesting publication of SIR after Examiner action	
1251 120		2251 60		Extension for reply within first month	
1252 450		2252 225		Extension for reply within second month	
1253 1,020		2253 510		Extension for reply within third month	
1254 1,590		2254 795		Extension for reply within fourth month	
1255 2,160		2255 1,080		Extension for reply within fifth month	
1401 500		2401 250		Notice of Appeal	
1402 500		2402 250		Filing a brief in support of an appeal	
1403 1,000		2403 500		Request for oral hearing	
1451 1,510		1451 1,510		Petition to institute a public use proceeding	
1452 500		2452 250		Petition to revive - unavoidable (1.17(l))	
1453 1,500		2453 750		Petition to revive - unintentional (1.17(m))	
1501 1,400		2501 700		Utility issue fee (or reissue)	
1502 800		2502 400		Design issue fee	
1503 1,100		2503 550		Plant issue fee	
1460 130		1460 130		Petitions to the Director	
1807 50		1807 50		Processing fee - provisional app (1.17(q))	
1806 180		1806 180		Submission of Information Disclosure Stmt	180.00
8021 40		8021 40		Recording each patent assignment per property (times number of properties)	
1809 790		2809 395		Filing a submission after final rejection (1.129(a))	
1814 130		2814 65		Statutory Disclaimer	
1801 790		2801 395		Request for Continued Examination (RCE)	
1802 900		1802 900		Req for expedited examination - Design App	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**180.00**

SUBMITTED BY

Name: Andrew J. Bateman

Registration No.: 45,573

Telephone: (202) 625-3547

Signature: _____

Date: 10/03/2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pierte ROO

Application No.: 09/920,240

Filed: August 1, 2001

For: ACTIVE RESISTIVE SUMMER FOR
A TRANSFORMER HYBRID

Examiner: Eugene YUN

Group Art Unit: 2682

Confirmation No.: 4035

Date: October 3, 2005

INFORMATION DISCLOSURE STATEMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56 and the requirements of MPEP § 2001.06(c), and in accordance with the practice under 37 CFR §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO-1449 and to the copies of the literature references submitted herewith.

In accordance with 37 CFR § 1.97(h), this Information Disclosure Statement is not to be construed as an admission that the information cited is or is considered to be material to patentability as defined in 37 CFR § 1.56(b), nor as an admission that the information constitutes prior art within the meaning of 35 USC §§ 102 and/or 103.

It is respectfully requested that the information listed on the PTO-1449 be considered by the Examiner, and that an initialed copy of the PTO-1449 be returned indicating that such information was considered.

A Fee Transmittal and Credit Card Authorization are being filed concurrently herewith authorizing payment of the \$180.00 IDS fee.

Should the Examiner have any questions, Applicant's undersigned attorney is reachable by telephone in our Washington, D.C. office at (202) 625-3547. The correspondence address of record is provided below.

10/04/2005 SZEWDIE1 00000135 09920240

01 FC:1806

180.00 0P

IP Docket
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